

09/01/00
1c920 U.S. PTO
09/654462

09-654462

A1

Anticipated Classification
of this Application:
Class _____ Subclass _____

Prior Application:
Examiner _____
Group Art Unit _____

Attorney's
Docket
No. 62166/JPW/EMW

September 1, 2000

HONORABLE ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

1c920 U.S. PTO
09/654462
09/01/00

S I R:

This is a request for filing a _____ CONTINUATION

_____ DIVISIONAL ☒ CONTINUATION-IN-PART application under

☒ 37 C.F.R. §1.53(b) _____ 37 C.F.R. §1.53(d), of pending prior application

Serial No. 09/569,259 filed on May 11, 2000 of

Thomas M. Jessell et al.
Inventor(s) _____ for

GENETIC DEMONSTRATION OF REQUIREMENT FOR NKX6.1 AND NKX2.2 IN VENTRAL NEURON GENERATION
Title of Invention _____

1. _____ Enclosed is a copy of the prior application, as originally filed and an affidavit or declaration verifying it as a true copy.
2. _____ A verified statement to establish small entity status under 37 C.F.R. §1.9 and 1.27
_____ is enclosed.
_____ was filed in the prior application and such status is still proper and desired (37 C.F.R. §1.28(a)).
3. ☒ The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

| | NUMBER FILED | | NUMBER EXTRA* | | RATE | | FEE | |
|--|-----------------|---|------------------|---|-----------------|-----------------|-----------------|-----------------|
| | | | | | SMALL ENTITY | OTHER ENTITY | SMALL ENTITY | OTHER ENTITY |
| Total Claims | 12 -20 | = | 0 | X | \$ 9.00 | \$18.00 | = \$ | \$ 0 |
| Independent Claims | 3 -3 | = | 0 | X | \$39.00 | \$78.00 | = \$ | \$ 0 |
| Multiple Dependent Claims Presented: _____ Yes <input checked="" type="checkbox"/> No | | | | | \$130.00 | \$260.00 | = \$ | \$ |
| *If the different in Col. 1 is less than zero, enter "0" in Col. 2 | | | | | BASIC FEE | | \$ 345 | \$ 690 |
| | | | | | TOTAL FEE | | \$ | \$ 690.00 |

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Cont.Div.
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4. X The Commissioner is hereby authorized to charge payment of the following fees associated with this application or credit any overpayment to Deposit Account No. 03-3125.
- X Any additional filing fees required under 37 C.F.R. §1.16.
- X Any patent application processing fees under 37 C.F.R. §1.17.
- The issue fees set forth in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).
5. X Three copies of this sheet are enclosed.
6. X A check in the amount of \$ 760.00 is enclosed.
7. Cancel claims .
8. Amend the specification by inserting before the first line the sentence: --This is a continuation division of application Serial No. , filed .--
9. X 9 Sheet(s) of informal X formal drawing(s) is/are enclosed.
10. Transfer the drawings from the prior application to this application and abandon said prior application as of the filing date accorded this application. A duplicate copy of this sheet is enclosed for filing in the prior application file.
11. Priority of application No. filed on in (country) is claimed under 37 U.S.C. §119.
- The certified copy of the priority application has been filed in prior application Serial No. , filed .
12. The prior application is assigned of record to .
13. A preliminary amendment is enclosed.
14. The power of attorney in the prior application is to:

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- (a) _____ The power appears in the original papers in the prior application.
- (b) _____ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- (c) X _____ Address all future communications to:
(May only be completed by applicant,
or attorney or agent of record.)

John P. White

Cooper & Dunham LLP

1185 Avenue of the Americas

New York, New York 10036

15. X _____ Also enclosed Express Mail Certificate of Mailing No.
EK 166 980 915 US dated September 1, 2000.

16. _____ I hereby verify that the attached papers are a true copy
of prior application Serial No. _____ as originally
filed on _____.

The undersigned declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statement and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

September 1, 2000

Date

Signature John P. White, Reg. No. 28,678
Elizabeth M. Wieckowski, Reg. No. 42,226

X _____

INVENTOR(S)
ASSIGNEE OF COMPLETE INTEREST
ATTORNEY OR AGENT OF RECORD
FILED UNDER 37 C.F.R. §1.34(a)

Address of Signator:

Cooper & Dunham LLP

1185 Avenue of the Americas

New York, New York 10036

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Thomas M. Jessell et al.
U.S. Serial No.: Not Yet Known
Filed : Herewith
For : GENETIC DEMONSTRATION OF REQUIREMENT FOR
NKX6.1 AND NKX2.2 IN VENTRAL NEURON
GENERATION

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New York, New York 10036
September 1, 2000

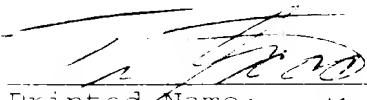
Assistant Commissioner for Patents
Washington, D.C. 20231

Ex: Patent Application

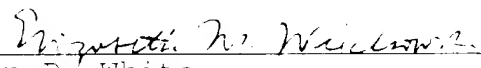
EXPRESS MAIL CERTIFICATE OF MAILING
FOR ABOVE-IDENTIFIED APPLICATION

"Express Mail" mailing label number: EK 166 930 915 US
Date of Deposit: September 1, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.


Printed Name: John P. White

Respectfully submitted,


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